

ALCATEL

Intellectual Property Department  
3400 W. Plano Parkway, M/S LEGL2  
Plano, TX 75075-5813

RECEIVED  
CENTRAL FAX CENTER

JUL 06 2005

---

**FACSIMILE TRANSMITTAL**

---

**TO:** Examiner Ian N. Moore  
**COMPANY:** U.S. Patent and Trademark Office - Tech Group 2661  
**CENTRAL FAX NO.:** 703-872-9306

**FROM:** Melanie Murdock  
**COMPANY:** Alcatel USA  
Intellectual Property Department  
**FAX NO.:** 972-477-9328  
**SENDER'S TELEPHONE NO.:** 972-519-5103

**DATE:** July 6, 2005  
**TOTAL PAGES (incl. Cover):** 4

---

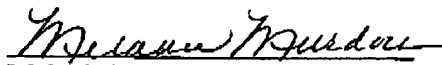
Serial No.: 09/858,099  
Attorney Docket No.: 135815

I hereby certify that the following documents are being transmitted via facsimile to the U.S. Patent and Trademark Office on July 6, 2005.

1. Facsimile Transmittal (1 page)
2. Supplemental Information Disclosure Statement (2 pages)
3. Information Disclosure Statement by Applicant (1 page)

RECEIVED  
OIPE/IAP

JUL 07 2005

  
Melanie Murdock

The documents accompanying this telecopy transmission contain information from Alcatel that is confidential and/or legally privileged. The information is intended only for the use of the individual or entity names on this transmission sheet. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this telecopied information is strictly prohibited and that the documents should be returned to Alcatel immediately. In this regard, if you have received this telecopy in error, please notify us by telephone immediately so that we can arrange for the return of the original documents to us at no cost to you.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
CENTRAL FAX CENTER

Applicant(s): Mazzurco, et al. Docket: 135815  
Serial No.: 09/858,099 Art Unit: 2661  
Filed: May 15, 2001 Examiner: Ian N. Moore  
Title: Common Protection Architecture for Optical Network


JUL 06 2005

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## Certificate Under 37 C.F.R. §1.8(a)

I hereby certify that the above correspondence is being transmitted via  
facsimile to the U.S. Patent Office, 703-872-9306, Attn: Examiner Ian N.  
Moore, on July 6, 2005.

  
Melanie Murdock

Dear Sir:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.99, an information disclosure statement is filed on Form PTO/SB/08B. Copies of each of the references, with the exception of any U.S. Patents, listed on the attached Form PTO/SB/08B are enclosed.

Pursuant to MPEP § 2001.06(b), Applicant would like to bring to the attention of the Examiner the co-pending, co-owned applications listed on Attachment A.

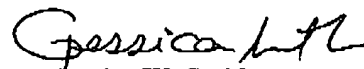
The submission of any document herewith is not considered as an admission that a prior art search has been made or that such document is material to patentability or constitutes prior art against the claims of the present application. No rights are waived hereby to take any action that would be appropriate to antedate or otherwise remove as a competent reference any document that is determined to be a prima facie prior art reference against the claims of the present application.

Commissioner is hereby authorized to charge the fee of \$180.00 to Deposit Account No. 50-0838.

Respectfully submitted,

ALCATEL

Dated: July 6, 2005

  
Jessica W. Smith  
Reg. No. 39,884

Alcatel  
Intellectual Property Department  
3400 W. Plano Parkway, M/S LEGL2  
Plano, TX 75075  
Phone: (972) 477-9109  
Fax: (972) 477-9328

07/07/2005 SHINSS1 00000014 500838 09858099

01 FC:1806 180.00 DA

Serial No.: 09/858,099  
Examiner: Ian N. Moore

**ATTACHMENT "A"**

|    | <b><u>Serial No.</u></b> | <b><u>File Date</u></b> | <b><u>Title</u></b>  |
|----|--------------------------|-------------------------|--|
| 1. | 09/903,268               | July 11, 2001           | Method and Apparatus for Signalling in a Shared Protection Ring Architecture |
| 2. | 09/858,098               | May 15, 2001            | Optical Shared Protection Ring for Multiple Spans                            |

135815

Page 2 of 2

FRO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |   |    |   |  |  |
|---|---|----|---|--|--|
| Substitute for form 1449A/PTO   |   |    |   | <div>Complete if Known</div> <div>Application Number 09/858,099</div> <div>Filing Date May 15, 2001</div> <div>First Named Inventor Mazzureo, ct al.</div> <div>Art Unit 2661</div> <div>Examiner Name Ian N. Moore</div> <div>Attorney Docket Number 135815</div> |  |
| <div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(Use as many sheets as necessary)</div> |   |    |   |  |  |
| Sheet   | 1 | of | 2 |  |  |

| U.S. PATENT DOCUMENTS |                          |  |                                |   |   |
|-----------------------|--------------------------|--|--------------------------------|---|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |   |   |
|                       | A                        | US- 5982517                              | 11/09/1999                     | Fishman   |   |
|                       | B                        | US 6046833                               | 04/04/2000                     | Sharma, et al.  |   |
|                       | C                        | US 6144633                               | 11/07/2003                     | Ikeda, et al.   |   |
|                       | D                        | US 6683849                               | 1/27/2004                      | Langridge, et al.                                     |   |
|                       | E                        | US 2003/0156317                          | 08/21/2003                     | Ruhl, et al.  |   |
|                       | F                        | US                                       |                                |   |   |
|                       | G                        | US                                       |                                |   |   |
|                       | H                        | US                                       |                                |   |   |
|                       | I                        | US                                       |                                |   |   |
|                       | J                        | US                                       |                                |   |   |
|                       | K                        | US                                       |                                |   |   |
|                       | L                        | US                                       |                                |   |   |
|                       | M                        | US                                       |                                |   |   |
|                       | N                        | US                                       |                                |   |   |
|                       | O                        | US                                       |                                |   |   |
|                       | P                        | US                                       |                                |   |   |
|                       | Q                        | US                                       |                                |   |   |
|                       | R                        | US                                       |                                |   |   |
|                       | S                        | US                                       |                                |   |   |
|                       | T                        | US                                       |                                |   |   |
|                       | U                        | US                                       |                                |   |   |
|                       | V                        | US                                       |                                |   |   |

| <b>FOREIGN PATENT DOCUMENTS</b> |                                 |   |  |  |  |                      |
|---------------------------------|---------------------------------|---|--|--|--|----------------------|
| <b>Examiner<br/>Initials</b>    | <b>Cite<br/>No.<sup>1</sup></b> | <b>Foreign Patent Document<sup>2</sup></b>  | <b>Publication Date<br/>MM-DD-YYYY</b> | <b>Name of Patentee or<br/>Applicant of Cited<br/>Document</b> | <b>Pages, Columns, Lines,<br/>Where Relevant Passages<br/>or Relevant Figures Appear</b> | <b>T<sup>6</sup></b> |
|                                 |                                 | <b>Country Code<sup>3</sup>-Number<sup>4</sup>-Kind Code<sup>5</sup> (if known)</b> |  |  |  |                      |
|                                 |                                 |   |  |  |  |                      |
|                                 |                                 |   |  |  |  |                      |
|                                 |                                 |   |  |  |  |                      |
|                                 |                                 |   |  |  |  |                      |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.